



*Virtual Psi-k GreenALM Hands-on Tutorial 2021*

## *Introduction to thermo-mechanical properties*

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Groundstate energy  $E_0$  from:  
DFT groundstate calculation

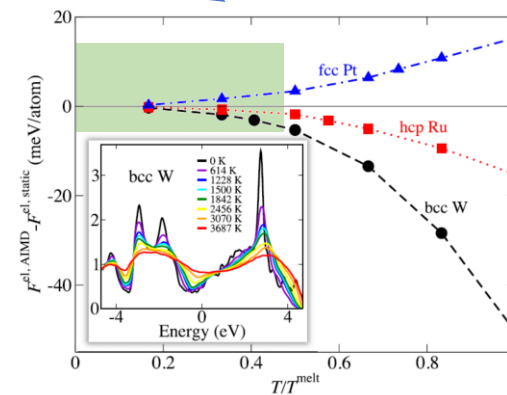
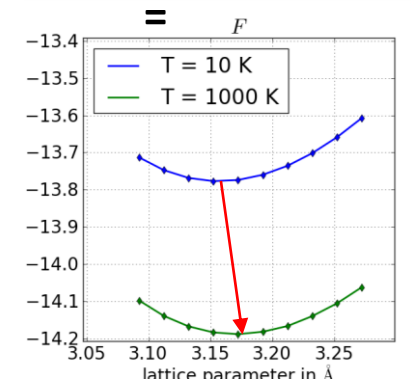
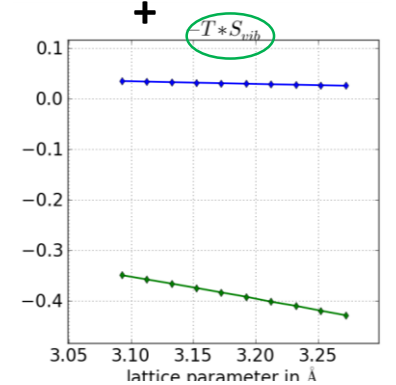
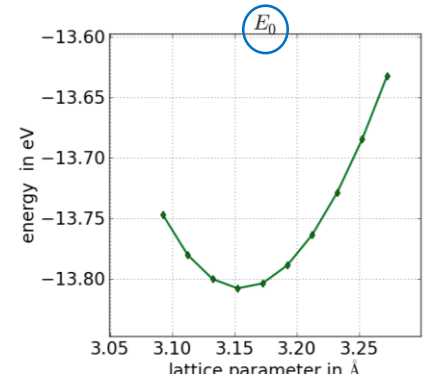
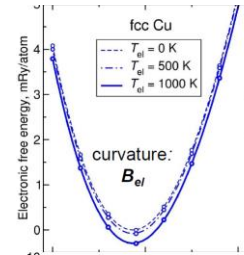
$$F = E_0 + F_{vib} + F_{conf} + F_{mag} + F_{el} + F_{defects} + \dots$$

- $F_{conf}$ : No volume dependence
- $F_{el}$ : Typically small influence on curvature of  $F_{vib}$  ( $\rightarrow$  Talk by Pavel Korzhavyi)
- $F_{defects}$ : Matters mostly at high temperatures ( $\rightarrow$  Talk by Blazej Grabowki)

Low temperatures ( $T < T_{melt}/2$ ), non-magnetic solid:  
 $F_{vib}$  is leading contribution

Vibrational free energy  $F_{vib}$  e.g. from

- Ab-initio MD
- Quasiharmonic approximation (QHA):  
Explicit phonon calculations, Debye Grüneisen model

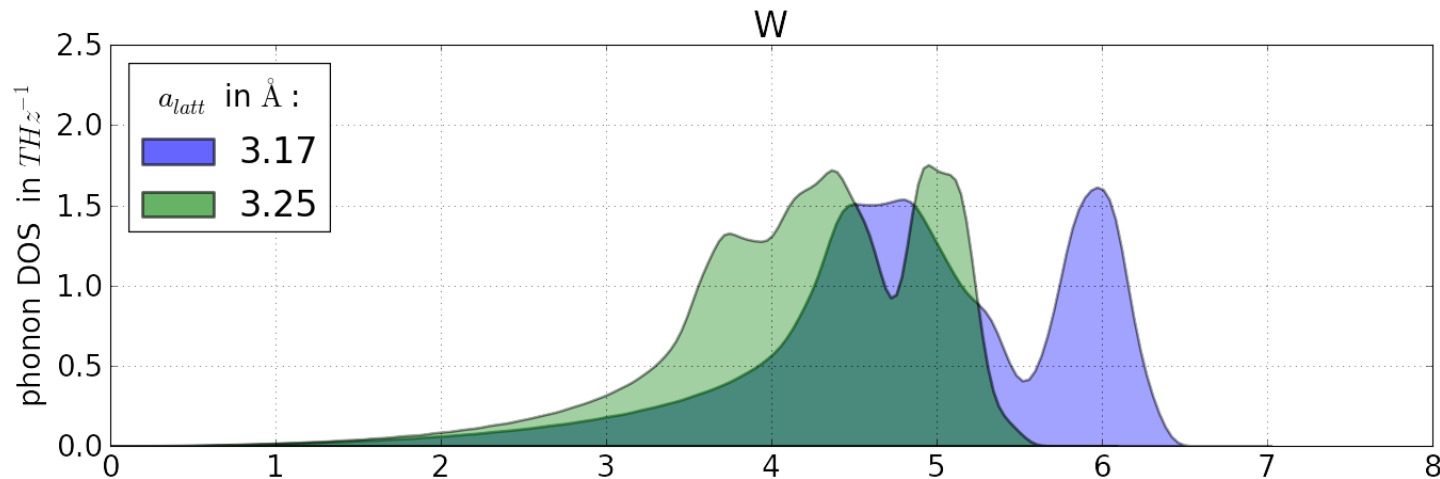


Zhang, Grabowski et al, 2017  
<https://doi.org/10.1103/PhysRevB.95.165126>

QHA with **explicit phonon calculations** (e.g. phonopy + VASP....)

- Finite displacements and forces → dynamical matrix
- Eigenvalues of dynamical matrix = phonon frequencies
- Intergration over phonon DOS →  $F_{vib}$

$$F_{vib} = \underbrace{\frac{1}{2} \sum_i^{3N} \epsilon_i}_{\text{zero-point vibrations}} + \underbrace{\frac{1}{\beta} \sum_i^{3N} \ln(1 - \exp^{-\beta \epsilon_i})}_{\text{temperature excitations}} \quad \epsilon_i = \hbar \omega_i \quad \beta = \frac{1}{k_b T}$$



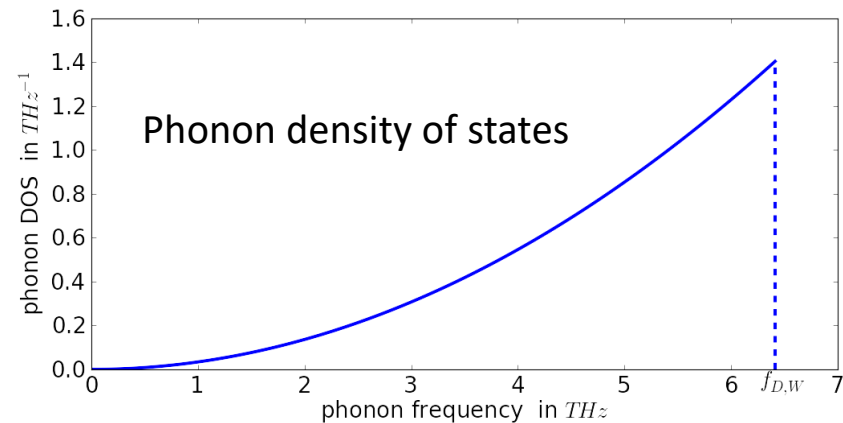
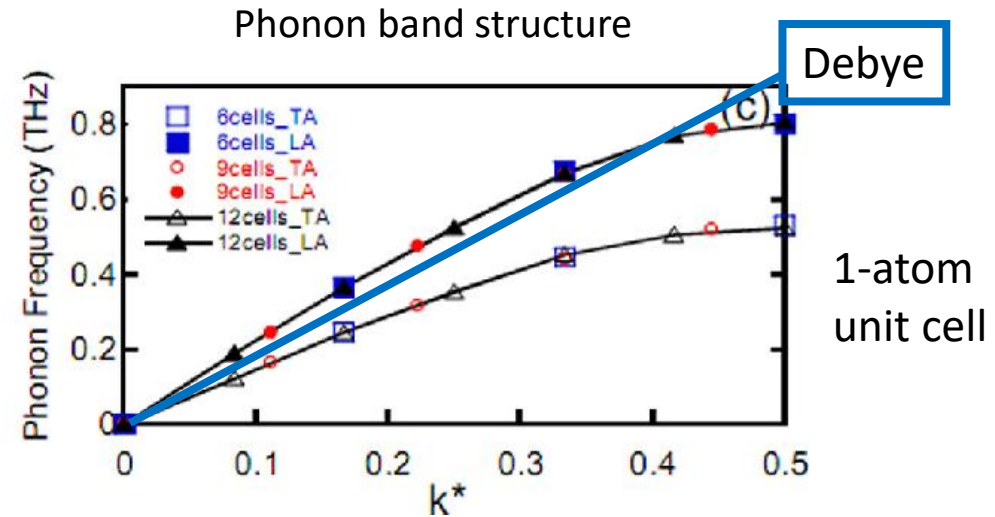
## Debye model

- Only one phonon branch:  
Averaged between longitudinal and transversal acoustic phonons

- Linear dispersion



- Parabolic DOS



- The Debye-Grüneisen approach is very powerful due to its computational efficiency and simplicity in terms of ingredients
- It works very nicely for a wide range of materials (as shown in the talk by P. Korzhavyi in the morning)
- It very versatile: Can be used with any method delivering total energy (at 0K) as function of volume

$$F_{vib} = \frac{9}{8} k_B \Theta_D - k_B T \left[ D_3 \left( \frac{\Theta_D}{T} \right) - 3 \ln(1 - e^{-\frac{\Theta_D}{T}}) \right]$$

$k_B$  ... Boltzmann constant  
 $\Theta_D$  ... Debye temperature  
 $D_3(z)$  ... Debye-function

$$D_3(z) = \frac{3}{z^3} \int_0^z dx \frac{x^3}{e^x - 1}$$

$$\text{Debye temperature at 0K: } \Theta_D(0K) \sim \left( \frac{V_0^{1/3} * B_0}{M} \right)^{1/2}$$

$$\text{Debye temperature at finite T: } \Theta_D(T) = \Theta_D(0K) \left( \frac{V_0}{V(T)} \right)^\gamma$$

$$\text{Therodynamic Grüneisen parameter: } \gamma = -1 - \frac{V}{2} \frac{\partial^3 E / \partial V^3}{\partial^2 E / \partial V^2}$$

$B_0$  ..... Bulk modulus at 0K

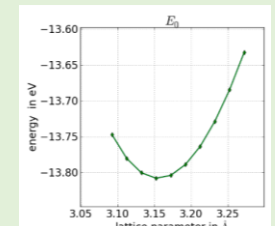
$M$  ..... Atomic mass

$V_0$  ..... volume 0K

$V$  ..... volume

$E$  ... total energy

All input can be obtained from a simple equation of state

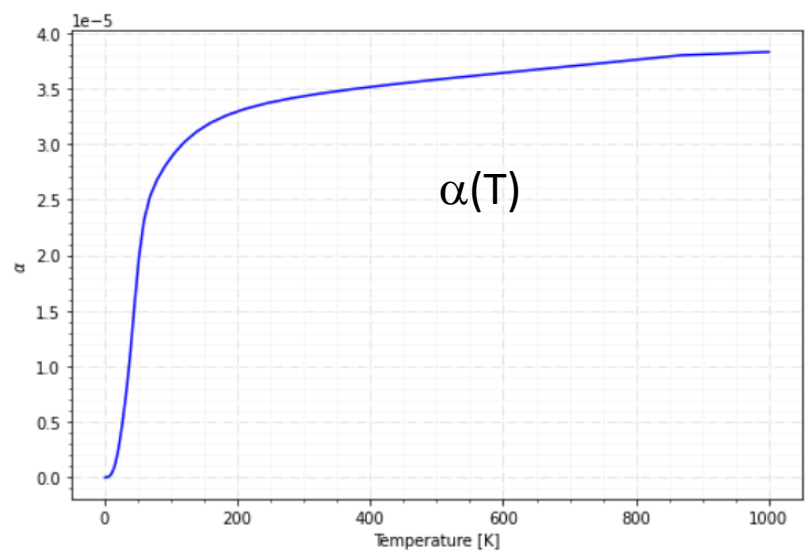
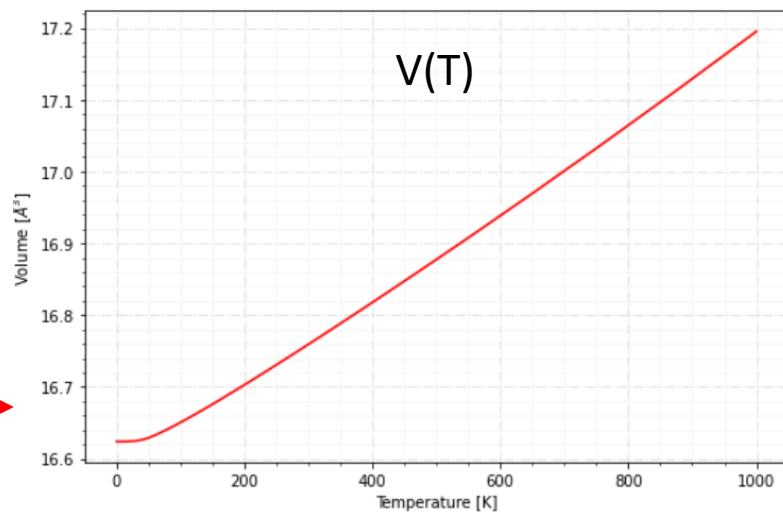
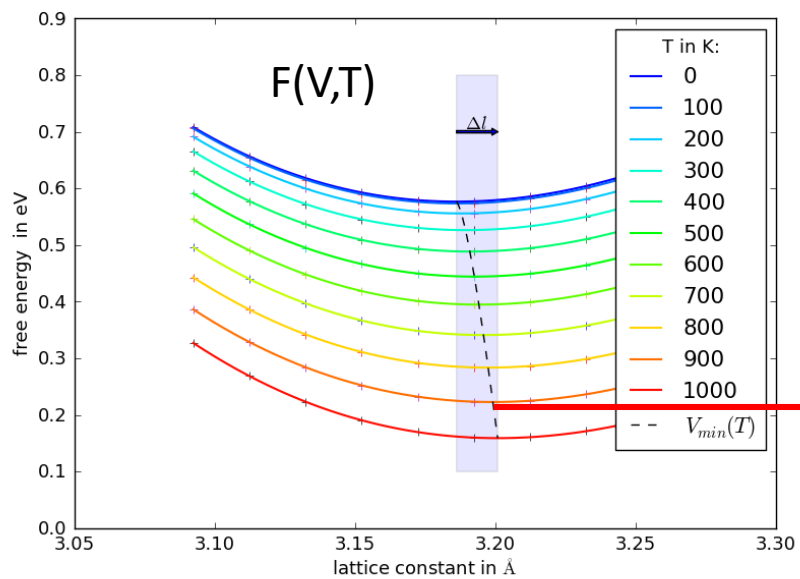


Moruzzi et al. 1988, <https://doi.org/10.1103/PhysRevB.37.790>

Korzhavyi et al. 1994, <https://doi.org/10.1103/PhysRevB.49.14229>

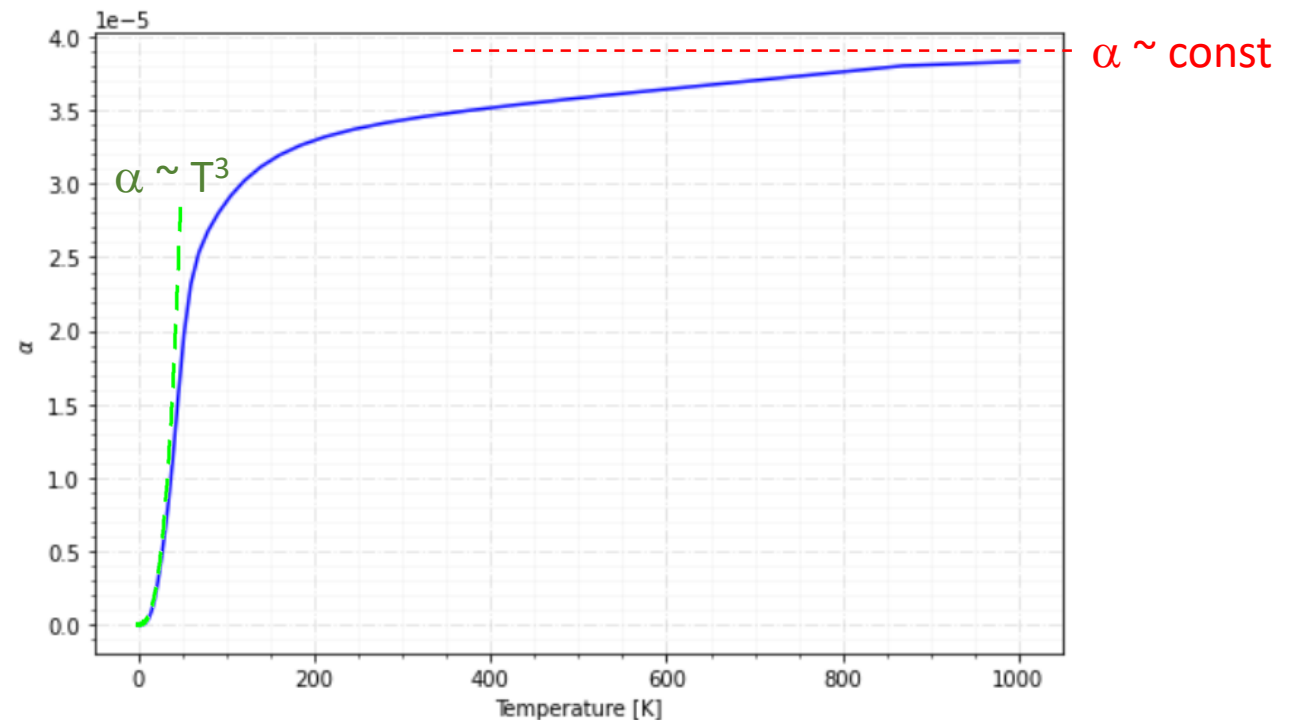
Dengg et al. 2017, <https://doi.org/10.1103/PhysRevB.96.035148>

Bodlos et al. 2021, <https://doi.org/10.1103/PhysRevMaterials.5.043601>

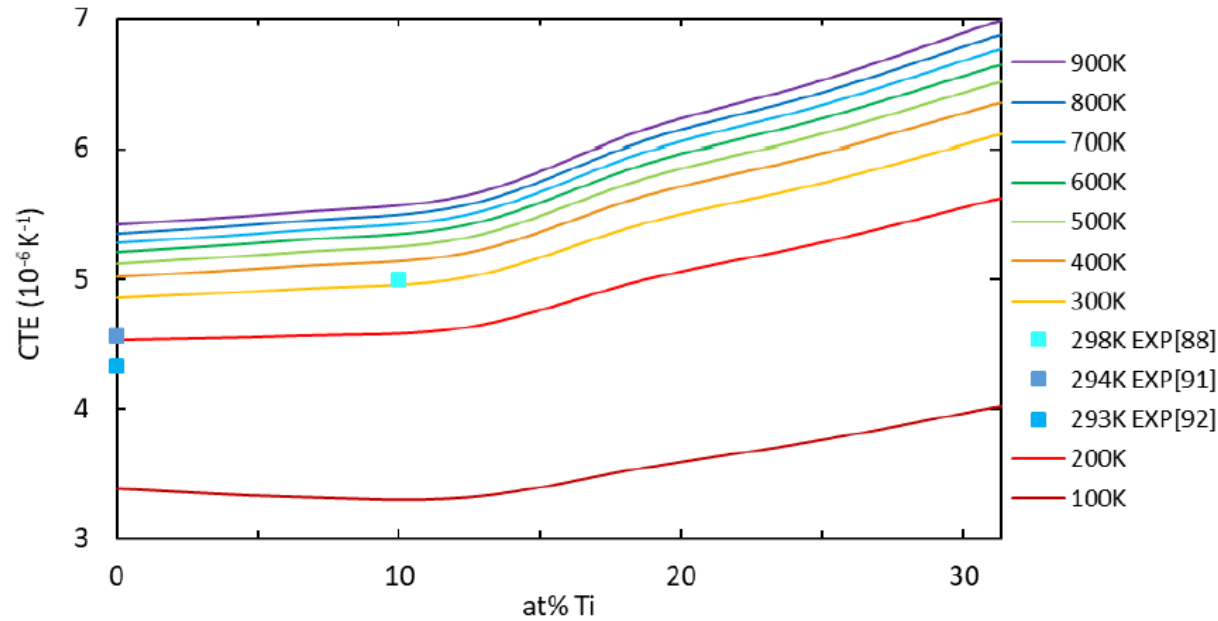


$$\alpha = \frac{1}{V} \frac{\partial V}{\partial T}$$

- The volumetric coefficient of thermal expansion is defined as  $\alpha = \frac{1}{V} \frac{\partial V}{\partial T}$
- It is related to  $c_v$  (the specific heat at constant volume) and the bulk modulus as  $\alpha = \frac{\gamma c_v}{B}$  (see e.g. Ashcroft-Mermin)
- In the two limits of very low and infinite temperature
  - $T \rightarrow 0: \alpha \sim T^3$
  - $T \rightarrow \text{inf}: \alpha \sim \text{const}$

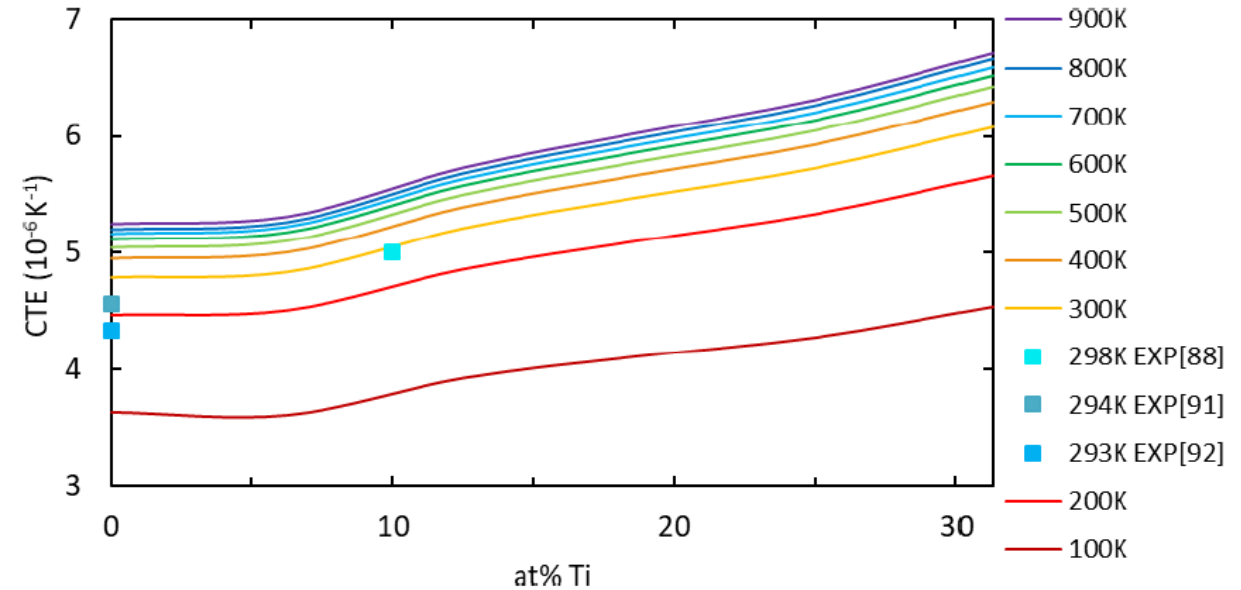


## Explicit phonon calcs



- VASP + phonopy, 128-atom supercell
- For each volume and concentration:  
20-40 displacements per supercell
- ➔ Total of ~ **1000 calculations of 128-atom supercells**

## Debye-Grüneisen model

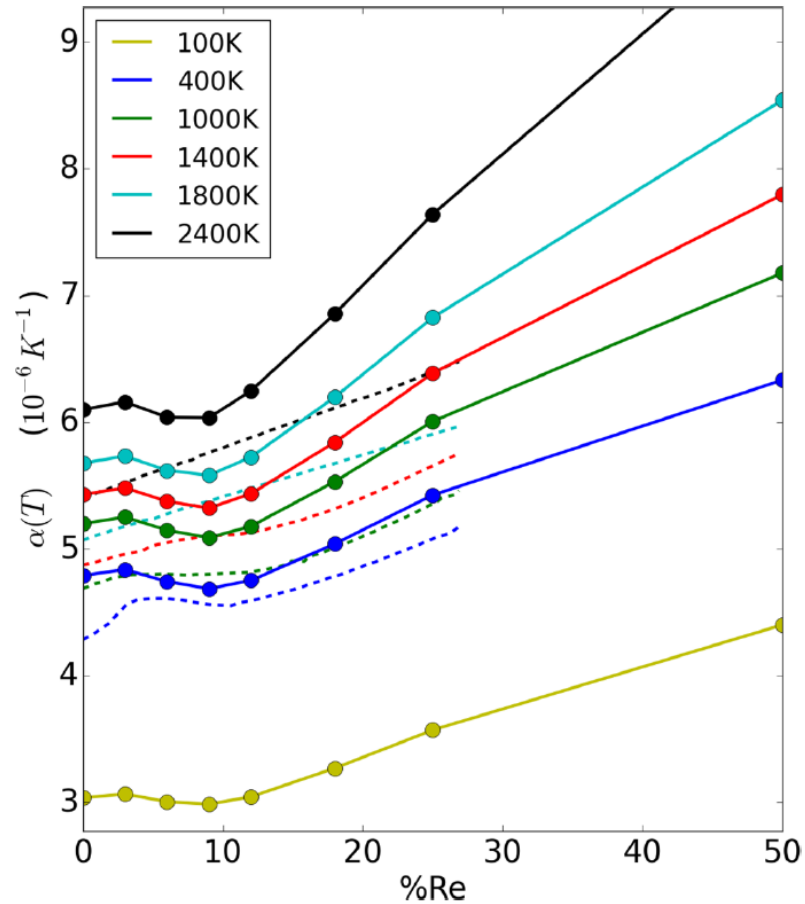


- VASP 16-atom supercell
- For each volume and concentration: 7 calculations
- ➔ Total of ~ **200 calculations of 16-atom supercells**

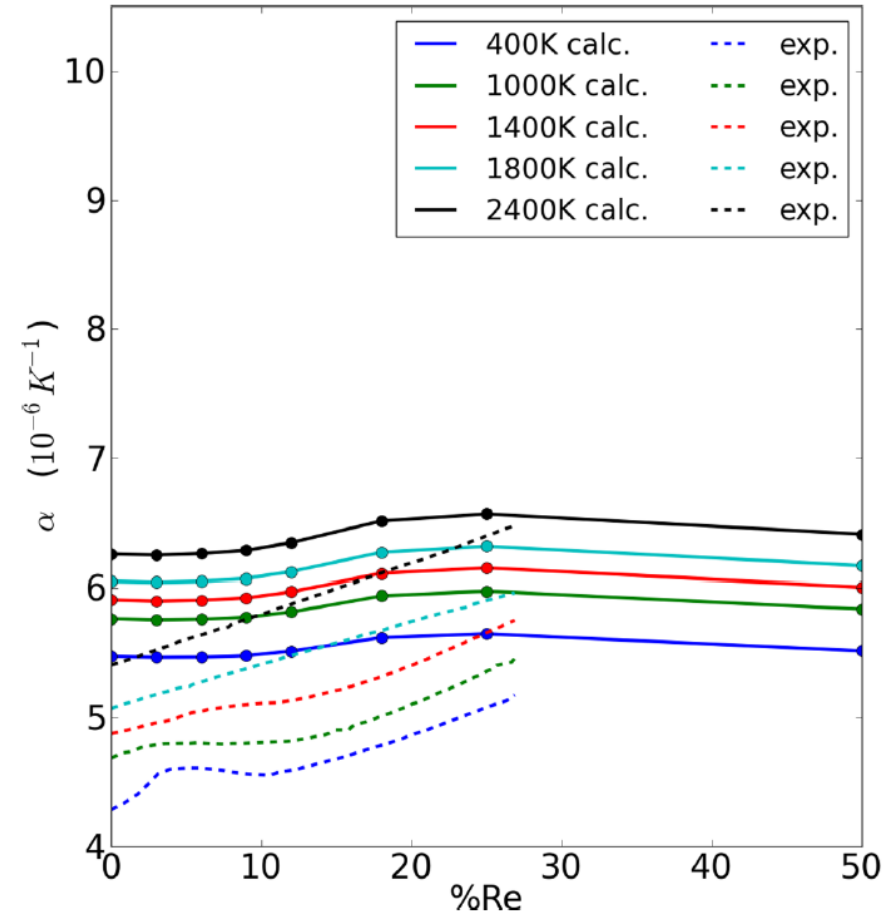
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### Explicit phonon calcs

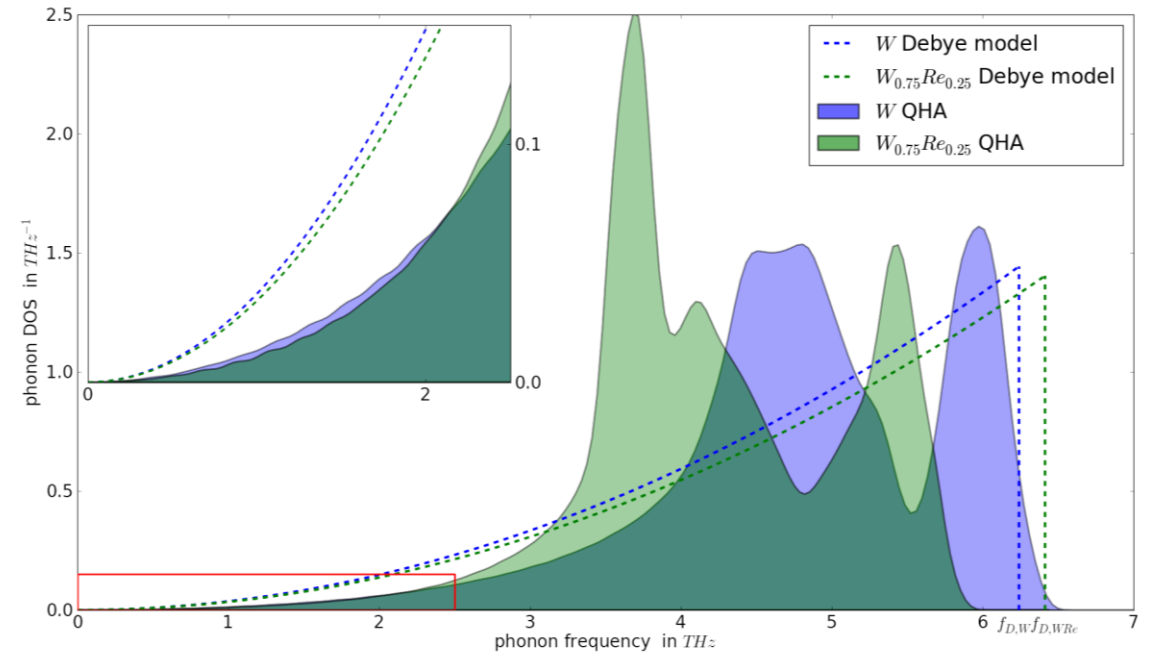
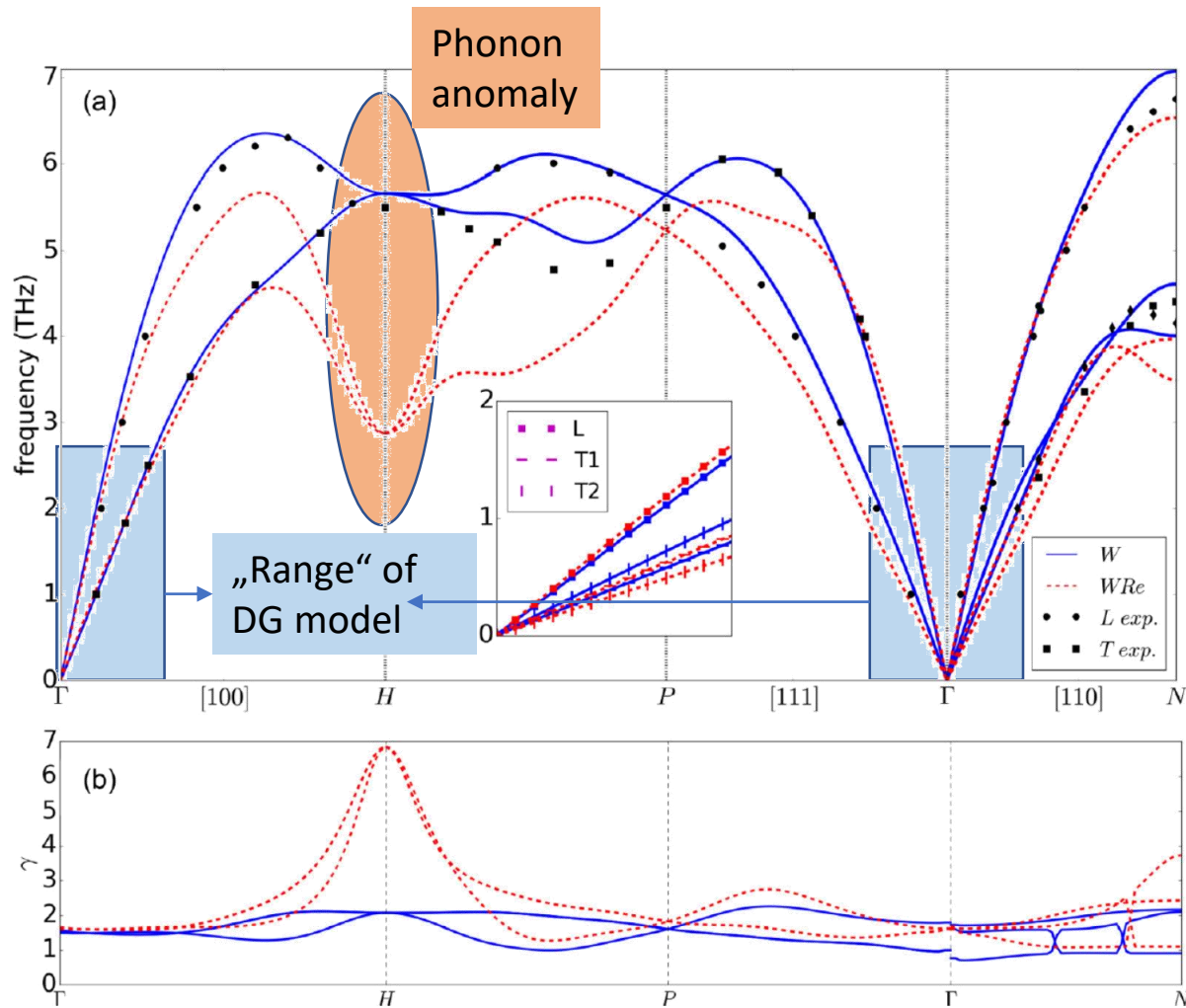


### Debye-Grüneisen model



What's going on with the Debye-Grüneisen model in W-Re?

Dengg et al. 2017 <https://doi.org/10.1103/PhysRevB.96.035148>



Dengg et al. 2017 <https://doi.org/10.1103/PhysRevB.96.035148>

- Thermal expansion is closely related to the phonons in a system
- The quasi-harmonic approximation is the „work-horse“ of calculating thermal expansion
- Debye-Grüneisen (DG) model delivers vibrational free energy as function of volume just based on the equation of states
- DG model is versatile and can be combined with existing CPA implementations → perfect for random alloys
- DG model gives a very useful approximation in many cases
- Be careful in systems with phonon anomalies away from the  $\Gamma$  point